

FORM PTO-1449

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICEATTY. DOCKET NO.
NEOMTRX.004AAPPLICATION NO.
09/870,402INFORMATION DISCLOSURE STATEMENT
BY APPLICANT

(USE SEVERAL SHEETS IF NECESSARY)

APPLICANT
Kevin MortonFILING DATE
May 30, 2001GROUP
3731

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPROPRIATE)
	3,608,540	09/1971	Sartorius			
	3,786,801	01/1974	Sartorius			
RAO	3,822,703	07/09/1974	Davisson			
RAO	4,249,481	02/10/81	Adams			
RAO	4,393,811	07/19/83	Bodmin			
RAO	4,452,779	06/05/1984	Cockerill			
RAO	4,542,750	09/1985	Ettare			
RAO	4,680,028	07/14/87	Stuart			
RAO	4,761,160	08/02/1988	Vermillion			
RAO	4,883,464	11/28/89	Morifuki			
RAO	4,941,433	07/17/90	Hanauer			
RAO	4,964,851	10/23/90	Larsson			
RAO	5,007,899	04/16/1991	Larsson			
RAO	5,049,126	09/17/91	Larsson			
RAO	5,476,492	12/19/1995	Unrug			
RAO	5,482,004	01/09/96	Chowdhury			
RAO	5,493,995	02/27/96	Chowdhury			
RAO	5,576,329	11/19/1996	Hennessey			
RAO	5,627,034	05/1997	Gould et al.			
RAO	5,645,537	07/08/97	Powles et al.			
RAO	5,664,984	09/09/1997	Laughridge			
RAO	5,720,722	02/24/1998	Lockridge			
RAO	5,741,649	04/21/98	Inazawa			
RAO	5,776,098	07/07/1998	Silver et al.			
RAO	5,776,177	07/07/1998	MacWhinnie et al.			
RAO	5,797,875	08/25/1998	Silver			
RAO	5,798,266	08/25/1998	Quay et al.			
RAO	5,810,796	09/22/1998	Kimura et al.			

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RAO	5,846,739	12/1998	Gould et al.			
RAO	5,855,889	01/05/99	Watson, et al.			
RAO	5,885,246	03/23/99	Ford			
RAO	5,895,640	04/1999	Khalkhali			
RAO	5,902,267	05/11/1999	Medo			
RAO	5,902,279	05/11/99	Powles et al.			
RAO	5,913,686	06/1999	Van Winkle			
RAO	5,914,238	06/1999	Keese et al.			
RAO	5,922,836	07/13/99	Watson, et al.			
RAO	5,941,847	08/24/99	Huber et al.			
RAO	6,004,186	12/21/1999	Penny			
RAO	6,004,756	12/21/1999	Watson et al.			
RAO	6,063,029	05/16/00	Saita et al.			
RAO	6,110,140	08/29/00	Silver			

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FOREIGN PATENT DOCUMENTS

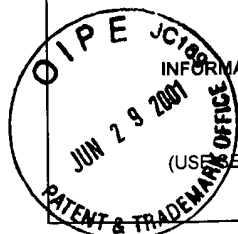
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION
						YES NO
RAO	WO 98/22160*	05/28/98	PCT			

EXAMINER INITIAL	OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)
RAO	Carotenoids of Human Colostrum, Stuart Patton et al., <u>Lipids</u> , Vol. 25, No. 3, pp. 159-165 (1990)
RAO	Relationship Between Serum Prolactin Levels and Protein Composition of Breast Secretions in Nonlactating Women, Francisco Vizoso et al., <u>Journal of Clinical Endocrinology and Metabolism</u> , Vol. 79, No. 2, pp. 525-529, 1994
RAO	McTiernan, A., & Thomas, D. (1986). Evidence of a protective effort of lactation on risk of breast cancer in young women. <u>American Journal of Epidemiology</u> , 124, 353-358.
RAO	Murrell, T. (1991). Epidemiological and biochemical support for a theory on the cause and prevention of breast cancer. <u>Medical Hypotheses</u> , 36, 389-396.
RAO	Yoo, K-Y., Tajima, K., Kuroishi, T., Hiroshi, K., Yoshida, M., Miura, S., & Murai, H. (1992). Independent protective effect of lactation against breast cancer: A cast-control study in Japan. <u>American Journal of Epidemiology</u> , 135, 725-733.

EXAMINER	DATE CONSIDERED
RAO	10/10/02

*EXAMINER: INITIAL IF CITATION CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP 609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED, INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.

FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE INFORMATION DISCLOSURE STATEMENT BY APPLICANT (USE SEVERAL SHEETS IF NECESSARY)	ATTY. DOCKET NO. NEOMTRX.004A	APPLICATION NO. 09/870,402
	APPLICANT Kevin Morton	
	FILING DATE May 30, 2001	GROUP 3731



EXAMINE R INITIAL	OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)
RAO	Nipple Aspirate Fluid in Epidemiologic Studies of Breast Disease, Nicholas L. Petrakis, <u>Epidermiologic Reviews</u> , Vol. 15, No. 1, pp. 188-195 (1993)
RAO	Exfoliative Cytology of the Human Mammary Gland and Its Value in the Diagnosis of Cancer and Other Diseases of the Breast, George N. Papanicolaou, M.D., Ph.D., et al., <u>Cancer</u> , Vol. 11, No. 2, pp. 377-409, March-April 1958
RAO	Breast Cancer Incidence in Women with Abnormal Cytology in Nipple Aspirates of Breast Fluid, Margaret R. Wrensch et al., <u>American Journal of Epidemiology</u> , Vol. 135, No. 2, 1992
RAO	Mutagenic Activity in Nipple Aspirates of Human Breast Fluid, Nicholas L. Petrakis et al., <u>Cancer Research</u> , Vol. 40, 188-189
RAO	"Final Results of Ductal Lavage Study Presented AT 23 rd Annual San Antonio Breast Cancer Symposium: Pre-malignant and Malignant Cells Detected in Women at High-risk for Breast Cancer"; http://www.ductallavage.com/Product/Text/San_Antonio_release.html
RAO	"Lancet Study Shows Promising New Breast Cancer Research Application Using Ductal Lavage" http://www.ductallavage.com/Product/Text/FINALPDHLancetreleased4.26.01.htm
RAO	"Study Demonstrates Ductal Lavage Collects Abnormal Breast Cells That Can Help Assess Breast Cancer Risk"; http://www.ductallavage.com/Product/Text/acog.htm ; <i>University Physicians Medical Group</i>
RAO	IHS® Health Goup Midical Industry Today.htm; Study: Ductal Lavage Shows Promise in Breast Cancer Detection

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EXAMINER	DATE CONSIDERED
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	APPLICANT Kevin Morton.	
	FILING DATE May 30, 2001	GROUP 3731

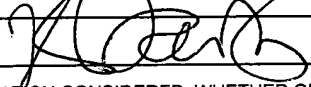
U.S. PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPROPRIATE)
2AM		6,287,521 B1	09/11/01	Quay, et al.			

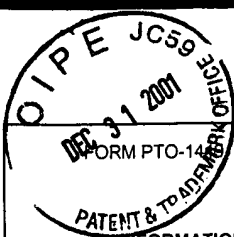
FOREIGN PATENT DOCUMENTS								
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO

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U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICEATTY. DOCKET NO.
NEOMTRX.001AAPPLICATION NO.
09/488,893INFORMATION DISCLOSURE STATEMENT
BY APPLICANT

(USE SEVERAL SHEETS IF NECESSARY)

APPLICANT
Covington, et alFILING DATE
January 21, 2000GROUP
3731

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U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPROPRIATE)
BAQ	09/870,402	5/30/2001	Kevin Morton			May 30, 2001
BAQ	09/488,905	1/21/2000	Chandice Covington			January 21, 2000

FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO

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OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)

BAQ	Kevin, Morton, Assignment for pending patent application s/n 09/870,402, titled : "NONINVASIVE INTRADUCTAL FLUID DIAGNOSTIC SCREEN", filed May 30, 2001
BAQ	Chandice Covington, Assignment for pending patent application s/n 09/488,905, titled, "METHOD AND APPARATUS FOR MEASURING FACTORS IN MAMMARY FLUIDS", filed January 21, 2000

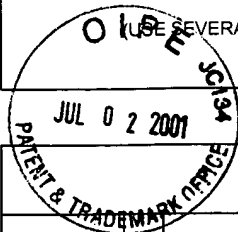
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT (USE SEVERAL SHEETS IF NECESSARY)		APPLICANT Kevin Morton	
		FILING DATE May 30, 2001	GROUP Unassigned



U.S. PATENT DOCUMENTS							
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPROPRIATE)	

FOREIGN PATENT DOCUMENTS							
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO

EXAMINER INITIAL	OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)
RAO	ER Sauter, et al.; "Nipple aspirate fluid: a promising non-invasive method to identify cellular markers of breast cancer risk", British Journal of Cancer (1997) 76(4) pp. 494-501

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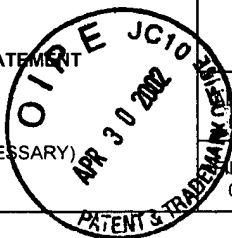
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<i>[Signature]</i>	10/10/02

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APPLICANT
Morton, K.FILING DATE
05/30/2001GROUP
1645

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U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPROPRIATE)
YAD	20010034038 A1	10/25/01	Hung, D.			
YAD	20010039015 A1	11/8/01	Sauter, E.			
YAD	20020002343 A1	1/3/02	Hung, et al.			
YAD	20020007115 A1	1/17/02	Hung, et al.			
YAD	20020010405 A1	1/24/02	Hung, et al.			
YAD	20020013539 A1	1/31/02	Hung, D.			
YAD	4,376,053	03/08/83	Bullock et al.			
YAD	4,583,970	04/22/86	Kirchner			
YAD	4,759,747	07/26/88	Aida et al.			
YAD	5,071,403	12/10/91	Larsson			
YAD	5,110,557	05/05/92	Brown et al.			
YAD	RE 35,316	08/27/96	Negersmith et al.			
YAD	5,628,964	05/13/97	Tassitano			
YAD	6,314,315 B1; 11/6/01	11/6/01	Hung, D.			
YAD	6,316,189 B1; 11/13/01	11/13/01	Haddad, et al.			
YAD	6,316,215 B1; 11/13/01	11/13/01	Adair, et al.			

EXAMINER INITIAL	OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)
YAD	Adami et al., "Absence of association between reproductive variables and the risk of breast cancer in young women in Sweden and Norway," <u>British Journal of Cancer</u> , Vol. 62, pp. 122-126 1990.
YAD	America Cancer Society <u>Cancer facts and figures</u> , 1996.
YAD	American Cancer Society <u>Breast cancer facts and figures</u> , 1996.
YAD	Anderson et al., <u>Cancer</u> , Vol. 65, 1901-1908 1989.
YAD	Basu et al., "Serum vitamins A and E, beta-carotene, and selenium in patients with breast cancer," <u>Journal of the American College of Nutrition</u> , Vol. 8, pp. 524-529 1989.
NOT CONSIDERED	Bendich et al., "Antioxidant nutrients and immune function," <u>Advances in Experimental Medicine and Biology</u>, Vol. 262, New York: Plenum Press 1990.

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT		APPLICANT Morton, K.	RECEIVED MAY 03 2002 TECH CENTER 1600/2800
(USE SEVERAL SHEETS IF NECESSARY)		FILED DATE 05/30/2001	

EXAMINER INITIAL	OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)
LAO	Brisson et al., "Diet, mammographic features of breast tissue, and breast cancer risk," <u>American Journal of Epidemiology</u> , Vol. 130, pp. 14-24 1989.
LAO	Britton et al., <u>Carotenoids Vol. 1a: Isolation and analysis</u> . Basel: Birkhausen Verlag 1995.
LAO	Burton et al., "Antioxidant action of carotenoids," <u>Journal of Nutrition</u> , Vol. 119, pp. 109-115 1989.
LAO	Burton et al., "Beta-carotene: An unusual type of lipid antioxidant," <u>Science</u> , Vol. 224, pp. 569 1984.
LAO	Byers et al., "Dietary carotenes, vitamin C, and vitamin E as protective antioxidants in human cancers," <u>Annual Review of Nutrition</u> , Vol. 12, pp. 139-159 1992.
LAO	Byers et al., "Lactation and breast cancer," <u>American Journal of Epidemiology</u> , Vol. 121, pp. 139-159 1985.
LAO	Dawood et al., <u>American Journal of Obstetrics and Gynecology</u> , , 138, 20-24, 1980
LAO	DeLuca, "Vitamin A" In: <u>The fat-soluble vitamins</u> , H. Deluca (Ed.), pp. 1-67, New York; Plenum Press. 1978.
LAO	Di Mascio et al., "Antioxidant defense systems: The role of carotenoids, tocopherols, and thiols," <u>American Journal of Clinical Nutrition</u> , Vol. 53, pp. 194-200 1991.
KAP	Ewertz et al., "Dietary factors and breast-cancer risk in Denmark," <u>International Journal of Cancer</u> , Vol. 46, pp. 779-784 1990.
LAO	Gaitan et al., <u>Endocrinology</u> , Sep, S1(3), 515-520, 1967.
LAO	Greiner <u>Pharmaceutical Tech.</u> , May 1993, pp. 28-44 1993.
LAO	Haagensen et al., "Breast carcinoma: Risk and detection," Philadelphia: W.B. Saunders Company 1981.
LAO	Hill et al., "Retinoids and Cancer Prevention," <u>Annu. Rev. Nutr.</u> Vol. 12, pp. 161-181 1992.
LAO	Hislop et al., "Diet and histologic types of benign breast disease defined by subsequent risk of breast cancer," <u>American Journal of Epidemiology</u> , Vol. 131, pp. 263-270 1990.
LAO	Holmes et al., "Dietary guidelines," In: <u>Reducing breast cancer in women</u> , B. Stoll (Ed.), pp. 135-144 1995.
LAO	Inaji et al., <u>Cancer</u> Vol. 60, 3008-3013 1987.
LAO	Ing et al., "Unilateral breast feeding and breast cancer," <u>The Lancet</u> , Vol. 7, pp. 124-127 1977.
LAO	Katsouyanni et al., "Risk of breast cancer among Greek women in relation to nutrition intake," <u>Cancer</u> , Vol. 61, pp 181-185 1988.
LAO	Kinsel et al., <u>Cancer Res.</u> 49: 1052-1056, 1989.
LAO	Knecht et al., "Serum vitamin A and subsequent risk of cancer: Cancer incidence follow-up of the finish mobile clinic health examination survey," <u>American Journal of Epidemiology</u> , Vol. 132, pp. 857-870 1990.
LAO	Krinsky et al., "Interaction of oxygen and oxy-radicals with carotenoids," <u>Journal of the National Cancer Institute</u> , Vol. 69, pp. 205 1982.
LAO	Krinsky, "Actions of Carotenoids in Biological Systems," <u>Annu. Rev. Nutr.</u> Vol. 13, pp. 561-587 1993.
LAO	Kvale et al., "Lactation and cancer risk: Is there a relationship specific to breast cancer," <u>Journal of Epidemiology and Community Health</u> , Vol. 42, pp. 30-37 1987.
LAO	Layde et al., "The independent association of parity, age at first full-term pregnancy, and duration of breastfeeding with the risk of breast cancer," <u>Journal of Clinical Epidemiology</u> , Vol. 42, pp. 963-973 1988.

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT		APPLICANT Morton, K.	GROUP 1645
(USE SEVERAL SHEETS IF NECESSARY)		FILING DATE 05/30/2001	

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EXAMINER INITIAL	OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)
KAO	Levin et al., "Lactation and menstrual function as related to cancer of the breast," <u>American Journal of Public Health</u> , Vol. 54, pp. 580 1964.
RAD	Love, "Introduction" In: <u>Reducing breast cancer risk in women</u> , B. Stoll (Ed.), 1995.
RAD	Lubin et al., "Risk factors for breast cancer in women in Northern Alberta Canada as related to age at diagnosis," <u>Journal of the National Cancer Institute</u> , Vol. 68, pp. 211-217 1992.
RAD	MacMahon et al., "Lactation and cancer of the breast: a summary of an international study," <u>Bulletin of the World Health Organization</u> , Vol. 42, pp. 85 1970.
KAO	Marubini et al., "The relationship of dietary intake and serum levels of retinol and beta-carotene with breast cancer," <u>Cancer</u> , Vol. 61, pp. 173-180 1988.
RAD	Matthews-Roth, <u>Curr. Top. Nutr. Dis. (New Prot. Roles Select Nutr.)</u> Vol. 22, pp. 17-38 1989.
RAD	Matthews-Roth, <u>Pure Appl. Chem.</u> Vol. 57, pp. 717-722 1985.
NOTED	Michnovicz et al., How to reduce your risk of breast cancer, New York: Warner Books 1994 no text
RAD	Mori et al., <u>Jpn. J. Clin. Oncol.</u> 19: 373-379 1989.
RAD	Negri et al., "Intake of selected micronutrients and the risk of breast cancer," <u>International Journal of Cancer</u> Vol. 65, pp. 140-144 1995.
RAD	Newcomb et al., "Lactation and a reduced risk of premenopausal breast cancer," <u>New England Journal of Medicine</u> , Vol. 330, pp. 81-87 1994.
RAD	Paganini-Hill et al., "Vitamin A, beta-carotene, and the risk of cancer: A prospective study," <u>Journal of the National Cancer Institute</u> Vol. 79, pp. 443-448 1987.
RAD	Parl et al., <u>Hum. Pathol.</u> 19: 960-966, 1988.
RAD	Pertschuk et al., <u>Cancer</u> , Vol. 66, 1663-1670 1990.
RAD	Peto et al., <u>Nature</u> , Vol. 290, pp. 201-208 1981.
RAD	Petrakis et al., "Association of breast fluid coloration with age, ethnicity, and cigarette smoking," <u>Breast Cancer Research and Treatment</u> , Vol. 11, pp. 255-262 1988.
RAD	Petrakis et al., "Association of race, age, menopausal status, and cerumen type with breast fluid secretion in non-lactating women as determined by nipple aspiration," <u>Journal of the National Cancer Institute</u> , Vol. 54, pp. 829-833 1975.
RAD	Petrakis et al., "Cerumen phenotype and proliferative epithelium in breast fluids of U.S.-Born immigrant Asian women: A possible genetic-environmental interaction," <u>Breast Cancer Research and Treatment</u> Vol. 16, pp. 279-285 1990.
RAD	Petrakis et al., "Cholesterol and Cholesterol Epoxides in Nipple Aspirates of Human Breast Fluid," <u>Cancer Research</u> , Vol. 41, p.p. 2563-2566, June 1981.
RAD	Petrakis et al., "Correlation of breast fluid related to concentration of cholesterol, cholesterol epoxides, estrogen, and lipid peroxides," <u>American Journal of Clinical Pathology</u> , Vol. 89, pp. 117-120 1988.
RAD	Petrakis et al., "Nipple aspirate fluids in adult non-lactating women-lactose content, cationic Na+, K+, Na+/K+ ratio, and coloration," <u>Breast Cancer Research and Treatment</u> , Vol. 13, pp. 71-78 1989.
RAD	Petrakis, "Physiologic, biochemical, and cytologic aspects of nipple aspirate fluid," <u>Breast Cancer Research and Treatments</u> Vol. 8, pp. 7-9 1986.

EXAMINER <i>Roads</i>	DATE CONSIDERED <i>10/10/02</i>
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT		APPLICANT Morton, K.	RECEIVED MAY 03 2002 TECH CENTER 1600/2900
(USE SEVERAL SHEETS IF NECESSARY)		FILING DATE 05/30/2001	

EXAMINER INITIAL	OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)
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EXAMINER	<i>R. O. Morton</i>	DATE CONSIDERED	10/10/2002
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